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Catalogue of French statutory and regulatory measures applicable to the marketing of shares or units in foreign UCITS in France

Published on June 20, 2019

This document sets out France's main provisions stemming from the Monetary and Financial Code, the General Regulation of the Autorité des Marchés Financiers (AMF), and the AMF's instructions, positions and recommendations applicable to the marketing in France of share or units of foreign UCITS.

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Guide on carbon offsetting by collective investment schemes

Published on March 18, 2019

This guide presents the attention points and best practices to be considered by asset management companies that implement techniques for offsetting the carbon footprint of their collective investment schemes. It addresses the methodological issues of the carbon footprint to be taken into account, the characteristics of carbon credits used and possible cancellation techniques, as well as information issued to the holders of the relevant CISs.

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Q&A on Money Market Funds - Guide for Asset Management Companies

Published on December 14, 2018

This guide describes the main impacts of the application of the MMF Regulation, then gives details of the authorisation procedures specific to money market funds, notably pending the update of the AMF product instructions. In addition, the guide provides clarifications on the provisions, in the order of the Regulation (investment, assessment of credit quality, valuation, redemption, external support, transparency).

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Guide on new rules for the funding of research within MiFID 2

Published on January 17, 2018

The purpose of this Guide is to support all the relevant stakeholders in the implementation of the new research funding measures coming from MiFID 2 and to respond to a number of their questions. Scope of application, the research definition, corporate access or a research budget's operation, are key topics of this new regime, upon which the AMF has chosen to focus.

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MiFID II - Guide for Asset Management Companies

Published on March 31, 2017

This guide aims to help asset management companies implement the new measures introduced by MiFID II. It will be updated to take account of the additional texts of the directive. The AMF has covered all the key topics of the directive for asset management companies, including product governance, "independent" investment advice, fees or best execution.

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Guide to the use of stress tests as part of risk management within asset management companies

Published on February 23, 2017

The aim of this instructive guide is to present an overview of the stress test practices of French asset management companies (AMCs). Its target audience is primarily AMCs that manage undertakings for collective investment in transferable securities (UCITS) or alternative investment funds (AIFs), or that provide a third-party portfolio management investment service, but it may also be of use to investment services providers that provide the same service.

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AMF policy handbook for small and mid caps

Published on January 12, 2017

The AMF has compiled this handbook for small and mid cap issuers. By recalling the main financial disclosure-related documents that issuers should be familiar with, this handbook addresses key issues and questions on the following themes: financial reporting and disclosure principles, principle of inside information and prevention of insider dealing by senior executives, information provided by senior executives and shareholders, trading in securities and market-related questions, governance, IFRS accounting information.

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UCITS 5 Directive- Guide for Asset Management Companies

Published on March 11, 2016

Directive 2014/91/EU of 23 July 2014 on UCITS, the so-called UCITS V Directive, was published in the Official Journal of the European Union on 28 August 2014 with a requirement for transposition into French law by 18 March 2016 and immediate entry into force. Prior to its transposition, the Autorité des Marchés Financiers (AMF) is publishing a guide to help management companies and answer questions about how the UCITS V Directive will impact their business. This guide may be updated as regulations evolve.

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Guide of European Long-Term Investment Fund (ELTIF)

Published on February 19, 2016

This information guide is for educational purposes only and is not a policy document. It aims to guide fund managers who would like one of their funds to be authorised as a European long-term investment fund (ELTIF). This document is intended for European AIFMs who wish to obtain ELTIF authorisation for a French fund, AIFMs who wish to use the passport procedure under the AIFM Directive to market a non-French ELTIF in France, and other ELTIF actors (distributors, depositaries, investors).

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Mentions légales:

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Guide to the relevance, consistency and readability of financial statements

Published on July 30, 2015

This guide, created by the AMF provides to listed companies tips to improve the relevance, the coherence and the readability of their financial statements. Each tip is illustrated by examples of good practice.

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