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Prospectus Regulation: the AMF is conducting a consultation until 30 September 2019 on changes to its doctrine

Published on August 7, 2019

The European Prospectus Regulation and its delegated regulations came into force on 21 July 2019. Consequently, the Autorité des Marchés Financiers (AMF) is launching a consultation through to 30 September 2019 on the draft modification of its doctrine on the subject and a new recommendation on the financial disclosure of companies via the written press.

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Mandate and functioning of the AMF's new Climate and Sustainable Finance Commission

Published on July 23, 2019

On 2 July, in a joint release by the French authorities and the financial community, the Autorité des Marchés Financiers (AMF) announced the creation of a Climate and Sustainable Finance Commission to assist it in carrying out its missions on issues related to sustainable finance. Today, the regulator has clarified the mandate and functioning of this commission, which will contribute to the work carried out in conjunction with the Autorité de Contrôle Prudentiel (ACPR) to monitor and evaluate the commitments taken by financial institutions in climate matters.

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The AMF publishes a summary of socially responsible investment practices observed during its thematic inspections

Published on July 15, 2019

As part of its short, thematic "SPOT" (Supervision des Pratiques Opérationnelle et Thématique - operational and thematic supervision of practices) inspections, the AMF examined the socially responsible investment (SRI) systems implemented by five asset management companies (AMCs) and the integration of environmental, social and governance (ESG) criteria. The aim is to ensure that SRI information provided to investors is fair, clear and non-misleading, but also compliant with the investment and management process implemented.

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The AMF launches a study on the impact of the new rules governing research funding introduced by MiFID 2

Published on July 11, 2019

The implementation of MiFID 2 has altered the market economy of research and brings the issue of small caps and mid caps coverage by analysts more sharply into focus. To fine-tune the assessment, the AMF Board has asked Jacqueline Eli-Namer, an AMF Board member, and Thierry Giami, president of the French Society of Financial Analysts (SFAF), to work with financial market stakeholders in order to explore concrete ways of improving the situation.

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Seven regulators publish the fruit of their common approach to data-driven regulation

Published on July 8, 2019

Seven regulators (the Competition Authority, AMF, Arafar, Arcep, CNIL, CRE and CSA) who held a meeting on 24 June 2019, published today a common approach to data-driven regulation.

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